

1Q23 *Pershing* *At a Glance*



35+ YEARS

OF EXPERTISE AND EXCELLENCE IN EMEA

1 GOAL

YOUR SUCCESS

7 LOCATIONS

SUPPORTING OUR CLIENTS IN EMEA

AUC/A OVER 2.0T

PERSHING GLOBAL ASSETS

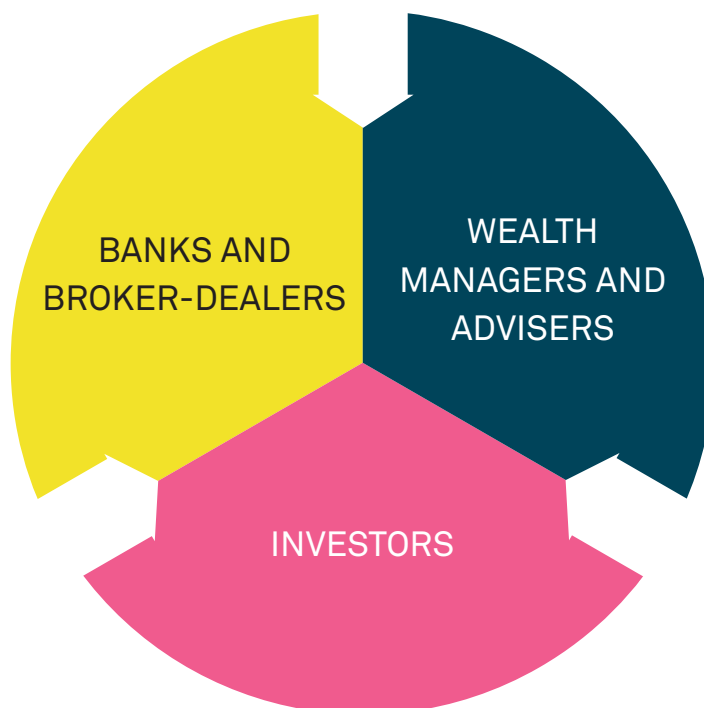
AUC/A \$46.6T

BNY MELLON GLOBAL ASSETS

INVESTED IN OUR CLIENTS

BNY Mellon's Pershing provides a broad range of financial business solutions to investment banks, broker-dealers, wealth managers, financial planners and advisers across EMEA. Our core solutions include sophisticated front-end technology and flexible middle-office capabilities with execution, settlement and custody services. These are supported by a robust regulatory and compliance framework with dedicated client asset experience and expertise. By supporting wealth managers and advisers behind the scenes, Pershing provides them with the means to help their investors achieve their financial goals.

Find out more at pershing.com/emea



Together, Transforming Your Business

Management expertise throughout the trade lifecycle, from execution to custody



CLEARING, SETTLEMENT AND CUSTODY

- Scalable middle and back-office services
- Clearing and settlement of on-exchange and OTC global equities, ETFs, funds, bonds and fixed income across 40+ markets
- Fails coverage capabilities
- UK and international non-disclosed and fully disclosed custody services



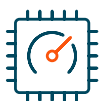
TRADING SERVICES

- Independent, unconflicted agency broker
- Multi-asset trading in global markets with the expertise of our traders and execution consultants
- Electronic equities trading with integrated STP settlement
- Advanced algorithmic and smart order routing technology



INVESTMENT AND PLATFORM SOLUTIONS

- Full suite of tax wrappers including ISAs, JISAs
- Powerful portfolio and relationship management
- Configurable SIPP solution to suit your business model
- Clear and detailed valuation reports
- MiFID II reporting solutions



TECHNOLOGY

- Flexible open architecture solution, you decide how you want to consume our services
- **Tough-tech:** resilient, reliable, secured, benefiting from the technology robustness of a G-SIB
- Interfaces: integrated professional portal, investor portal and API interfaces including web services

Focusing on Your Success

Focus on growing your business

Future-proof your operations and technology

Pay for what you use and reduce operational costs

Lessen the weight of regulatory requirements

Alleviate the burden of liquidity and capital requirements

Benefit from the strength of our balance sheet under a model B arrangement and reduce capital requirements

Bringing You Certainty and



Strength of our parent BNY Mellon: Fitch AA-rated bank and one of the world's largest custodians



Expertise in regulatory compliance: helping you navigate the regulatory maze



Stronger voice in the market: shape and improve the industry by working closely with regulators of different jurisdictions



Protection of client assets: an integral part of our service bringing you safety and certainty



Robust technology, resilient connectivity: proven and tested to give our clients peace of mind

Award Winning Solutions

2023

WealthBriefing European Awards
2023: Highly Commended for Technology Outsourcing Solution

2022

Schroders UK Platform Awards
2022: Best Strategic Platform Partner

Systems in the City
2022: Best Third Party Clearing Service

2021

Schroders UK Platform Awards
2021: Best Strategic Platform Partner

Systems in the City
2021, Company Fintech Award: Best Clearing and Custody Service

2020

Schroders UK Platform Award
2020: Best Strategic Platform Award

WealthBriefing European Awards 2020: Best Global Custodian to Private Client Businesses



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ABOUT BNY MELLON

Established in 1784, BNY Mellon is America's oldest bank and the first company listed on the New York Stock Exchange (NYSE: BK). Today, BNY Mellon powers capital markets around the world through comprehensive solutions that help clients manage and service their financial assets throughout the investment life cycle. BNY Mellon had \$46.6 trillion in assets under custody and/or administration and \$1.9 trillion in assets under management as of March 31, 2023. BNY Mellon has been named among Fortune's World's Most Admired Companies and Fast Company's Best Workplaces for Innovators. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation. Additional information is available on www.bnymellon.com. Follow us on [LinkedIn](#) or visit our Newsroom for the latest company news.

ABOUT BNY MELLON'S PERSHING

BNY Mellon's Pershing is a leading provider of clearing and custody services. We are uniquely positioned to help complex financial services firms transform their businesses, drive growth, maximize efficiency, and manage risk and regulation. Wealth management and institutional firms outsource to us trading and settlement services, investment solutions, bank and brokerage custody, middle- and back-office support, data insights, and business consulting. Pershing brings together high-touch service, an open digital platform and the BNY Mellon enterprise to deliver a differentiated experience for every client. Pershing LLC (member FINRA, NYSE, SIPC) is a BNY Mellon company. With offices around the world, Pershing has over \$2 trillion in assets and millions of investor accounts. Pershing affiliates include technology provider Pershing X, Inc. and Lockwood Advisors, Inc., an investment adviser registered in the United States under the Investment Advisers Act of 1940. Additional information is available on pershing.com, or follow us on [LinkedIn](#) or [Twitter @Pershing](#).

ABOUT PERSHING LIMITED

BNY Mellon's Pershing Limited and its subsidiaries provide broker-dealers, asset managers, intermediary firms, IFAs, and financial institutions across EMEA with a comprehensive range of products, services, and solutions including fully disclosed custody, clearing and settlement for wealth managers and advisers as well as institutional global clearing and trading services. Established in London in 1987, Pershing Limited and its subsidiary, Pershing Securities Limited, are members of the London Stock Exchange and Euroclear UK & Ireland. Pershing Limited has memberships with LCH Limited (EquityClear®). Pershing Securities Limited is a member of various markets and clearing houses including, LCH SA and Cboe Clear. Pershing Limited is an affiliate of Pershing LLC, a leading global provider of financial business solutions to institutional and retail financial organisations and independent investment advisers. Pershing LLC (member FINRA/ NYSE/SIPC) is a member of every major US securities exchange, and both are subsidiaries of The Bank of New York Mellon Corporation. Additional information is available at pershing.com/emea.

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