



Annuity Fees and Revenue Disclosure

Pershing may receive servicing fees from certain insurance companies that participate in Pershing's annuity program. These fees may be considered revenue sharing and are a source of revenue for Pershing.

Pershing also receives operational reimbursement fees from certain insurance companies. A flat fee per holding is paid to Pershing for the services it provides, which may include, but is not limited to, posting, accounting reconciliation and client statement preparation and mailing. These fees are a source of revenue for Pershing. The insurance companies are listed below in alphabetical order.

1. AIG ANNUITIES
2. ALLIANZ LIFE INS CO
3. ALLSTATE LIFE INSURANCE COMPANY
4. AMERICAN GENERAL LIFE INS CO
5. AMERICAN NATIONAL
6. AMERITAS VARIABLE LIFE INS CO
7. ATHENE
8. AXA EQUITABLE LIFE INSURANCE CO
9. BRIGHTHOUSE LIFE INS CO
10. CMFG LIFE INSURANCE CO.
11. COMMONWEALTH ANNUITY
12. DELAWARE LIFE INSURANCE CO
13. EAGLE LIFE
14. EAGLE LIFE/AMERICAN EQUITY
15. FIDELITY INVESTMENTS LIFE INS CO
16. FIRST SYMETRA NTNL LIFE OF NY
17. FORETHOUGHT LIFE INS CO
18. GENWORTH LIFE INS.
19. GREAT AMERICAN LIFE INS CO
20. GREAT WEST LIFE
21. GUARDIAN INSURANCE & ANNUITY CO.
22. HARTFORD LIFE INSURANCE CO.
23. INTEGRITY LIFE INS. CO.
24. JACKSON NATIONAL LIFE COMPANY
25. JEFFERSON NATIONAL LIFE
26. JOHN HANCOCK LIFE INS CO USA
27. KNIGHTHEAD ANNUITY AND LIFE
28. LIBERTY LIFE ASSR. CO OF BOSTON
29. LINCOLN BENEFIT LIFE CO (SE2)
30. LINCOLN NATIONAL LIFE INS CO
31. METLIFE INVESTORS INS CO
32. MIDLAND NAT. INS CO/SAMMONS
33. MIDLAND NATIONAL LIFE INSURANCE
34. MINNESOTA LIFE INS CO/SECURIAN
35. MODERN WOODMEN OF AMERICA
36. NATIONAL INTEGRITY LIFE INS. CO.
37. NATIONAL SECURITY LIFE ANN CO
38. NATIONWIDE LIFE INSURANCE CO
39. NEW YORK LIFE INS & ANN CORP/FIX
40. NORTH AMERICAN LIFE COMPANY
41. NORTHSTAR FINL SVCS BERMUDA LTD
42. OHIO NATIONAL LIFE INS. CO.
43. OLD MUTUAL BERMUDA BUS SERV INC
44. PACIFIC LIFE INSURANCE COMPANY
45. PENN MUTUAL
46. PRINCIPAL LIFE INSURANCE CO
47. PRIVATE BANKERS LIFE
48. PROTECTIVE LIFE AND INS CO
49. PRUDENTIAL/PRUCO LIFE INS CO
50. RELIANCE LIFE
51. RIVERSOURCE LIFE INSURANCE CO.
52. SANLAM (BERMUDA)
53. SECURITY BENEFIT LIFE INS CO
54. STANDARD INSURANCE COMPANY
55. SUN LIFE ASS. CO. OF CANADA
56. SYMETRA LIFE INS. CO.
57. TRANSAMERICA LIFE INS. CO.
58. UNIVERSAL LIFE INS CO
59. US LIFE/WESTERN NATIONAL
60. VANTIS LIFE INSURANCE COMPANY
61. VOYA INSURANCE INS & ANNUITY COM
62. WESTERN SOUTHERN LIFE

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