

Order Routing SEC Rule 606

Pershing has provided the data concerning the routing of order flow (the "Data") on the order routing disclosure website. Although Pershing has used commercially reasonable efforts to provide accurate data, the data is provided to you on an "as is" basis.

To read this information, visit the [order routing disclosure site](#). In the text box of the search feature at the top of the home page, enter Pershing LLC, as this will be used as the search criteria.

March 2018