

List of Third Party Model Provider Models That Include BNY Mellon Advisors, Inc. Affiliate Advised/Sub-Advised Funds*

as of June 30, 2023

Third Party Model Provider Models (including Strategists)

Model Provider	Model
Horizon Investments LLC	Horizon Real Spend 3
Horizon Investments LLC	Horizon Real Spend 4
Horizon Investments LLC	Horizon Real Spend 5
Horizon Investments LLC	Horizon Real Spend 6
Horizon Investments LLC	Horizon Real Spend 7
Key Select Portfolio	Key Select Portfolio - Tax Advantage Aggressive Growth
Key Select Portfolio	Key Select Portfolio - Tax Advantage Balanced
Key Select Portfolio	Key Select Portfolio - Tax Advantage Conservative
Key Select Portfolio	Key Select Portfolio - Tax Advantage Growth
Key Select Portfolio	Key Select Portfolio - Tax Advantage Growth Income
Key Select Portfolio	Key Select Portfolio - Tax Advantage Income
Key Select Portfolio	Key Select Portfolio - Tax Advantage Income Growth
Key Select Portfolio	Key Select Portfolio - Tax Advantage Maximum Growth
Key Select Portfolio	Key Select Portfolio - Tax Advantage Moderate Growth
Key Select Portfolio	Key Select Portfolio - Tax Advantage Moderate Income
Money Concepts Capital Corp	Money Concepts Dynamic Balanced
Money Concepts Capital Corp	Money Concepts Dynamic Conservative
Putnam Investment Management, LLC	Putnam Aggressive Growth Model
Putnam Investment Management, LLC	Putnam Balanced Growth Model
Putnam Investment Management, LLC	Putnam Growth Model
Sanctuary Asset Management	SAM Dynamic Income Balanced (50/50)
Sanctuary Asset Management	SAM Dynamic Income Equity Only (100/0)
Sanctuary Asset Management	SAM Strategic Income - Balanced (50/50)
Sanctuary Asset Management	SAM Strategic Income - Equity (100/0)

Affiliate Advised/Sub-Advised Mutual Funds and Exchange-Traded Funds (ETFs) Available in the Command Sponsor Unified Managed Account (UMA) Program(s) for Individual Selection

Mutual Fund/ETF Name	Symbol
AMERICAN BEACON SMALL-CAP VALUE FUND CLASS Y	ABSXX
BNY MELLON SMALL/ MID CAP GROWTH FUND CLASS I	SDSCX
LATTICE STRATEGIES TR HARTFORD MULTIFACTOR DEVELOPED MKTS EX US ETF	RODM
WISDOMTREE TR EMERGING MKTS HIGH DIVID FD	DEM
WISDOMTREE TR U S MIDCAP DIVID FD	DON
WISDOMTREE TR U S SMALLCAP DIVID FD	DES
WISDOMTREE TR US QUALITY DIVID GROWTH FD	DGRW

*A Third Party Model Provider (including Strategists) or UMA program sponsor may independently select a mutual fund or ETF which is advised or sub-advised by an investment advisory affiliate of BNY Mellon Advisors, Inc. (BNYMA). A conflict exists because BNYMA has the discretion to replace such mutual fund or ETF in BNYMA managed accounts, thereby affecting the compensation which may be earned by the affiliate. When BNYMA becomes aware that an affiliate is functioning in such capacity, and where BNYMA chooses not to replace the mutual fund or ETF, or the Model Provider or UMA program sponsor is unable (or unwilling) to replace the mutual fund or ETF, BNYMA will rebate the fees received by the affiliated adviser to the client. As of the date noted, the above listed models and mutual funds/ETFs were eligible for a rebate of fees received by the affiliated adviser. Model Providers and UMA program sponsors, independent from BNYMA, determine which mutual funds and/or ETFs to include in their respective models or UMA programs. Investment options available for selection vary based on the program sponsor.

