

REV UP YOUR RETIREMENT BUSINESS WITH PERSHING'S RETIREMENT PLAN NETWORK

The retirement market is **growing**, with total 401(k) and IRA assets expected to exceed \$15 trillion by 2018.¹ Now you can rev up your retirement business and increase your market share with Pershing's Retirement Plan Network.

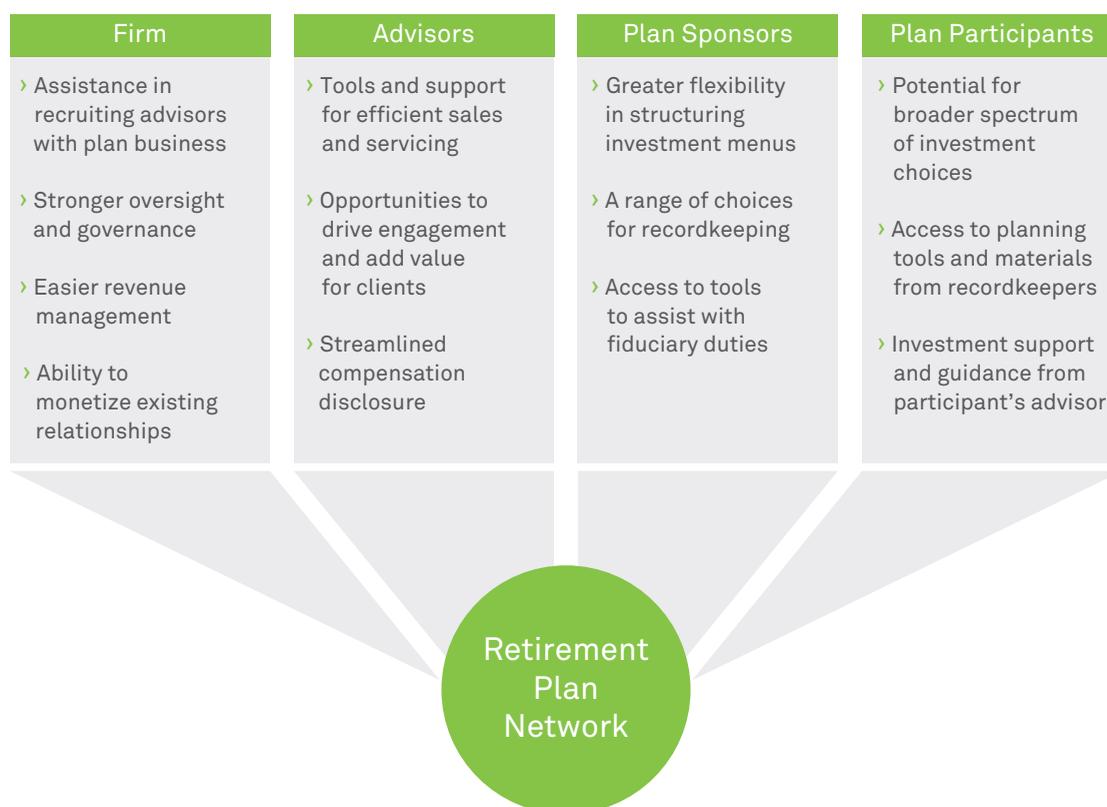
Efficient

Retirement Plan Network is an open-architecture solution that streamlines the sales and servicing of defined contribution (DC) plans. This centralized hub will provide broker-dealers, registered investment advisors (RIAs) and advisors with a full range of tools and resources to grow their retirement plan business and take advantage of this fast-growing market.

End-to-End

Retirement Plan Network allows advisors to connect to independent recordkeepers through NetX360®, and leverage a host of resources that enhance service for clients planning to retire. Via NetX360, advisors can create proposals, view plan investments, develop and rebalance model portfolios, conduct investment reviews, facilitate IRA rollovers, and access plan sponsor and participant education materials.

Best of all, Pershing's Retirement Plan Network offers a broad spectrum of benefits for **all** stakeholders:



Effective

From enhanced compliance solutions to access to a broad range of recordkeepers and investment choices, Retirement Plan Network is a potentially effective solution for growing and streamlining your retirement plan business.

The screenshots illustrate the RPN interface, which includes a search bar, navigation tabs, and various data visualization tools like charts and tables. The 'Plan Dashboard' section shows a table with the following data:

ASSET CLASS	MARKET VALUE	% OF TOTAL
Equity	\$1,140,847,059.17	75.00
Fixed Income	\$304,225,882.45	20.00
Cash	\$76,056,470.61	5.00

The 'Plan Details' table at the bottom of the dashboard shows the following information:

ACCOUNT	PLAN NAME	PARTICIPANTS	RECORDKEEPER	PLAN ASSETS	YTD NET FLOWS
Dem1ABC	ABC Widget Co	39	Recordkeeper 1	\$1,345,678.34	\$34,321.32
Dem1DLJ	Dallas Law Inc	141	Recordkeeper 2	\$3,421,152.24	\$27,444.32

Why Pershing for Retirement?

Did you know that more than 50% of the over 5.5 million accounts on our platform are retirement accounts?² This business is as important to us as it is to you. That's why we've built one of the industry's most comprehensive retirement offerings. And we continuously invest in our infrastructure to ensure that you remain at the forefront of the retirement marketplace. At Pershing, we are dedicated to helping you succeed.

To learn more about Retirement Plan Network, contact Rob Cirrotti at RCirrotti@Pershing.com.

¹ Cerulli Retirement Markets 2013.

² As of June 30, 2014.

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