

Order Routing SEC Rule 606

Pershing Advisor Solutions is a registered broker-dealer, and it sends orders on behalf of clients to Pershing for routing to various market centers for execution. You can view the top venues with which Pershing executes nondirected orders by clicking on [order routing disclosure](#) and selecting the tabs on the site:

- NYSE Equities
- AMEX Equities
- NASDAQ Equities
- Options

March 2017