

Accessing Wealth Reporting via NetX360®

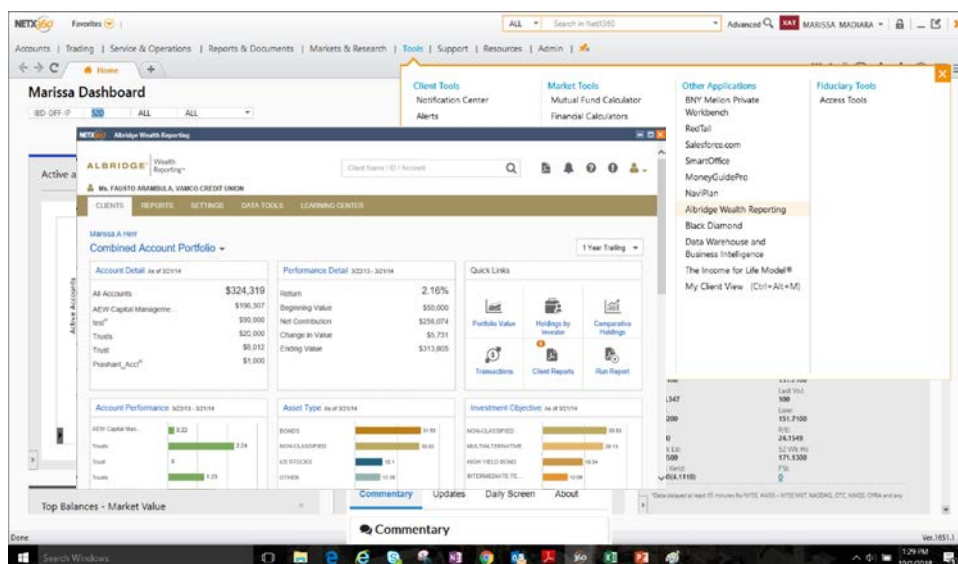
Wealth Reporting Tools¹

A Fully-Integrated Wealth Reporting Experience

NetX360 → Menu → Tools → Albridge Wealth Reporting

Features:

- On- and off-platform consolidated reporting
- More than 100 data sources
- Point-to-point performance with flexible rate of return methods (IRR, DTWR)
- More than 50 portfolio reports with branding capabilities
- Comprehensive benchmarks
- Book of business reporting



Sample data shown is for informational purposes only and does not reflect the performance of an actual account. The securities information and asset allocation shown here are provided for illustrative purposes only, and are not intended to be a recommendation to buy, hold or sell any security.

¹ Wealth reporting tools provided by Albridge Solutions, Inc., an affiliate of Pershing LLC, member FINRA, NYSE, SIPC, a wholly owned subsidiary of The Bank of New York Mellon Corporation (BNY Mellon). Access to these tools requires a separate agreement with Albridge.

Quarterly Performance Reporting

Features:

- Comprehensive portfolio risk and return data
- Performance calculation at asset level, asset class, account or portfolio
- Transparency into portfolio composition, positions, cash flows and returns
- Customized report packages, formats, benchmarks, branding and disclosures

NetX360 → Account Workbook (Managed Accounts) → Performance

The screenshot displays the NetX360 Performance Reporting interface. At the top, there's a navigation bar with 'Accounts | Trading | Service & Operations | Reports & Documents | Markets & Research | Tools | Support | Resources | Admin'. Below this, the account information for 'XXX001087' is shown, including 'Lynn Chiqui', '706 HENG DE', and 'KING OF PRUSSIA PA 19406'. Key metrics include 'Long Market Value: 15,949.00', 'Short Market Value: 0.00', 'Account Worth: 3,009,522.96', and 'Total Available Funds: 2,993,573.96'. The interface is divided into sections for 'Report / Package', 'Date' (05/08/2008), and 'Holdings by Investor'. A table lists assets with columns for 'ASSET NAME', 'TICKER', 'QUANTITY', 'PRICE (\$)', and 'VALUE (\$)'. The assets listed are AMERICAN FUNDS CAP WORLD BOND F (WBFFX), FIDELITY STRATEGIC INCOME FUND (FSICX), and HUSSMAN STRATEGIC GROWTH FUND (HSGFX).

NetX360 → Account Workbook (Managed Accounts) → MA Summary

The screenshot displays the NetX360 MA Summary interface. It shows account details for 'XXX001087 - Lynn Chiqui', including 'Status: Active', 'Mkt. Value: \$3,009,522.96', and 'Investment Objectives: Conservative Growth'. A 'Performance Summary (As of 9/28/2018)' section features a bar chart showing '% Change' from 1.00 to 5.00. A 'Top 10 Holdings (As of 9/28/2018)' table lists the following assets:

Ticker/CUSIP	Description	Mkt. Value(\$)	Investment Type
CASHGL	Default Cash Security	2,993,573.96	Cash
DFCIX	DELAWARE SMID CAP G.	5,844.00	Mutual Funds
ALMAX	ALGER SMID CAP GROW...	3,046.00	Mutual Funds
ACSTX	INVESCO COMSTOCK FU...	2,792.00	Mutual Funds
LAFFX	LORD ABBETT AFFILIATE...	1,626.00	Mutual Funds
GSLAX	GOLDMAN SACHS LARGE...	1,625.00	Mutual Funds
EVIBX	EATON VANCE INCOME F...	566.00	Mutual Funds
AFRAX	INVESCO FLOATING RAT...	456.00	Mutual Funds

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