

# 4Q23 *Pershing EMEA* At a Glance



## 35+ YEARS

OF EXPERTISE AND EXCELLENCE IN EMEA

## 1 GOAL

YOUR SUCCESS

## 7 LOCATIONS

SUPPORTING OUR CLIENTS IN EMEA

## AUC/A OVER 2.0T

PERSHING GLOBAL ASSETS

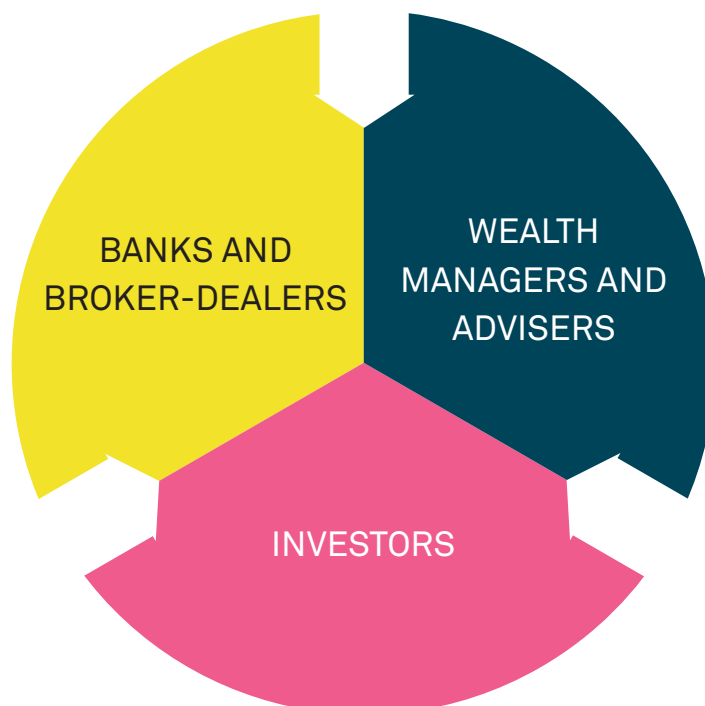
## AUC/A \$47.8T

BNY MELLON GLOBAL ASSETS

### INVESTED IN OUR CLIENTS

BNY Mellon's Pershing provides a broad range of financial business solutions to investment banks, broker-dealers, wealth managers, financial planners and advisers across EMEA. Our core solutions include sophisticated front-end technology and flexible middle-office capabilities with execution, settlement and custody services. These are supported by a robust regulatory and compliance framework with dedicated client asset experience and expertise. By supporting wealth managers and advisers behind the scenes, Pershing provides them with the means to help their investors achieve their financial goals.

Find out more at [pershing.com/emea](https://pershing.com/emea)



\* As of 31 December 2023

# Together, Transforming Your Business

Management expertise throughout the trade lifecycle, from execution to custody



## CLEARING, SETTLEMENT AND CUSTODY

- Scalable middle and back-office services
- Clearing and settlement of on-exchange and OTC global equities, ETFs, funds, bonds and fixed income across 40+ markets
- Fails coverage capabilities
- UK and international non-disclosed and fully disclosed custody services



## TRADING SERVICES

- Independent, unconflicted agency broker
- Multi-asset trading in global markets with the expertise of our traders and execution consultants
- Electronic equities trading with integrated STP settlement
- Advanced algorithmic and smart order routing technology



## INVESTMENT AND PLATFORM SOLUTIONS

- Full suite of tax wrappers including ISAs, JISAs
- Powerful portfolio and relationship management
- Configurable SIPP solution to suit your business model
- Clear and detailed valuation reports
- MiFID II reporting solutions



## TECHNOLOGY

- Flexible open architecture solution, you decide how you want to consume our services
- **Tough-tech:** resilient, reliable, secured, benefiting from the technology robustness of a G-SIB
- Interfaces: integrated professional portal, investor portal and API interfaces including web services

## Focusing on Your Success

Focus on growing your business

Future-proof your operations and technology

Pay for what you use and reduce operational costs

Lessen the weight of regulatory requirements

Alleviate the burden of liquidity and capital requirements

Benefit from the strength of our balance sheet under a model B arrangement and reduce capital requirements

# Bringing You Certainty and Stability



**Strength of our parent  
BNY Mellon:** Fitch AA-  
rated bank and one of the  
world's largest custodians



**Expertise in regulatory  
compliance:** helping  
you navigate the  
regulatory maze



**Stronger voice in the  
market:** shape and  
improve the industry  
by working closely  
with regulators of  
different jurisdictions



**Protection of client assets:**  
an integral part of our  
service bringing you  
safety and certainty



**Robust technology,  
resilient connectivity:**  
proven and tested to give  
our clients peace of mind

## Award Winning Solutions

### 2023

**Schroders UK Platform  
Awards 2023:** Best Strategic  
Platform Partner

**WealthBriefing European Awards  
2023:** Highly Commended for  
Technology Outsourcing Solution

**WealthBriefing Channel  
Islands Awards 2023:** Best  
Platform Provider

**Systems in the City 2023 Fintech  
Awards:** Best Settlement System

### 2022

**Schroders UK Platform Awards  
2022:** Best Strategic Platform  
Partner

**WealthBriefing European  
Awards 2022:** Best Technology  
Outsourcing Solutions

**Systems in the City 2022  
Fintech Awards:** Best Third  
Party Clearing Service

### 2021

**Schroders UK Platform Awards  
2021:** Best Strategic Platform  
Partner

**Systems in the City 2021,  
Company Fintech Award:** Best  
Clearing and Custody Service



[pershing.com/emea](https://pershing.com/emea)  
[Info@pershing.co.uk](mailto:Info@pershing.co.uk)

#### ABOUT BNY MELLON

Established in 1784, BNY Mellon is America's oldest bank and the first company listed on the New York Stock Exchange (NYSE: BK). Today, BNY Mellon powers capital markets around the world through comprehensive solutions that help clients manage and service their financial assets throughout the investment life cycle. BNY Mellon had \$47.8 trillion in assets under custody and/or administration and \$2.0 trillion in assets under management as of December 31, 2023. BNY Mellon has been named among Fortune's World's Most Admired Companies and Fast Company's Best Workplaces for Innovators. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation. Additional information is available on [www.bnymellon.com](https://www.bnymellon.com). Follow us on [LinkedIn](#) or visit our Newsroom for the latest company news.

#### ABOUT BNY MELLON'S PERSHING

BNY Mellon's Pershing is a leading provider of clearing and custody services. We are uniquely positioned to help complex financial services firms transform their businesses, drive growth, maximize efficiency, and manage risk and regulation. Wealth management and institutional firms outsource to us trading and settlement services, investment solutions, bank and brokerage custody, middle- and back-office support, data insights, and business consulting. Pershing brings together high-touch service, an open digital platform and the BNY Mellon enterprise to deliver a differentiated experience for every client. Pershing LLC (member FINRA, NYSE, SIPC) is a BNY Mellon company. With offices around the world, Pershing has over \$2 trillion in assets and millions of investor accounts. Pershing affiliates include technology provider Pershing X, Inc. and Lockwood Advisors, Inc., an investment adviser registered in the United States under the Investment Advisers Act of 1940. Additional information is available on [pershing.com](https://pershing.com), or follow us on [LinkedIn](#) or [Twitter @Pershing](#).

#### ABOUT PERSHING LIMITED

BNY Mellon's Pershing Limited and its subsidiaries provide broker-dealers, asset managers, intermediary firms, IFAs, and financial institutions across EMEA with a comprehensive range of products, services, and solutions including fully disclosed custody, clearing and settlement for wealth managers and advisers as well as institutional global clearing and trading services. Established in London in 1987, Pershing Securities Limited (PSL) is a member of the London Stock Exchange and LCH Limited (EquityClear®). PSL is also a member of various markets and clearing houses including Euronext and Cboe Clear. PSL, Pershing Securities International Limited and Pershing (Channel Islands) Limited are members of Euroclear UK & International. Pershing Limited is an affiliate of Pershing LLC, a leading global provider of financial business solutions to institutional and retail financial organisations and independent investment advisers. Pershing LLC (member FINRA/NYSE/SIPC) is a member of every major US securities exchange, and both are subsidiaries of The Bank of New York Mellon Corporation. Additional information is available at [pershing.com/emea](https://pershing.com/emea).

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